

FORM PTO-1330 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INTERNATIONAL APPLICATION NUMBER <b>511-73</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/569,969</b>
INTERNATIONAL APPLICATION NO. <b>PCT/IB2004/051331</b>	INTERNATIONAL FILING DATE <b>29 July 2004</b>	PRIORITY DATE CLAIMED <b>29 August 2003</b>
TITLE OF INVENTION <b>PANEL AND SUPPORT STRUCTURE FOR COLLAPSIBLE CONTAINER, AND COLLAPSIBLE CONTAINER</b>		
APPLICANT(S) FOR DO/EO/US <b>Anthony Court HUGGETT</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The U.S. has been elected (Article 31).</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto (      pages specification, claims &amp; abstract (      claims),      sheets drawings).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> is attached hereto (      pages specification, claims &amp; abstract (      claims),      sheets drawings,      page Certificate of Translation).</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. a. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>b. <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (      page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<p><b>Items 11 To 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. a. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>b. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. § 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information. (1) <b>Communication with copy of Credit Card form; and (2) Copy of Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US)</b></p>		

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/569,969</b>		INTERNATIONAL APPLICATION NO. <b>PCT/IB2004/051331</b>			ATTORNEY'S DOCKET NUMBER <b>511-73</b>		
<input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>							
21. <input type="checkbox"/> Basic national fee ..... \$300.00 (1631)/\$150.00 (2631) 22. <input type="checkbox"/> Examination Fee..... \$200.00 (1633)/\$100.00 (2633) 23. <input type="checkbox"/> Search Fee ..... \$0 (1640/2640)							
<b>TOTAL OF ABOVE CALCULATIONS</b>							
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE			
0 -100	0 /50 =	0.00		\$0.00 (1681) \$0.00 (2681)			\$
Surcharge of <b>\$130.00 (1617)/\$65.00 (2617)</b> for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).							
CLAIMS	NUMBER FILED	# EXTRA	RATE				
Total Claims		minus 20 =	0 X	\$50.00 (1615)/	\$25.00 (2615)		\$
Independent Claims		minus 3 =	0 X	\$200.00 (1614)	\$100.00 (2614)		\$
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)				\$360.00 (1616)/\$180.00 (2616)			\$ 0.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.							
Processing fee of <b>\$130.00 (1618)</b> , for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). + 0.00							
<b>TOTAL NATIONAL FEE = \$ 0.00</b>							
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00 (8021)</b> per property + \$ 0.00							
Fee for Petition to Revive Unintentionally Abandoned Application; <b>\$1500.00 (1453) / \$750.00 (2453)</b> \$ 0.00							
<b>TOTAL FEES ENCLOSED = \$ 0.00</b>							

- a.  A check in the amount of \$0.00 to cover the above fees is enclosed.
  - b.  Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this form is enclosed.
  - c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.
  - d.  **CREDIT CARD PAYMENT FORM ATTACHED.**e.  The entire content of International Application No.

PCT/IB2004/051331 and any U.S. and foreign application(s) corresponding thereto, and hereby incorporated by reference in this application.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.**

**CORRESPONDENCE ADDRESS**

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Robert A. Nolans

**Robert A. Molan**

**NAME**

29,834

**March 21, 2007**

**REGISTRATION NUMBER**

Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/569,969	Anthony Court Huggett	511-73 RAM
INTERNATIONAL APPLICATION NO.		
PCT/IB04/51331		
I.A. FILING DATE		PRIORITY DATE
07/29/2004		08/29/2003
CONFIRMATION NO. 3380		
371 FORMALITIES LETTER		
 *OC000000022834172*		

Date Mailed: 03/13/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/28/2006
- Copy of the International Search Report filed on 02/28/2006
- Preliminary Amendments filed on 02/28/2006
- Information Disclosure Statements filed on 02/28/2006
- Request for Immediate Examination filed on 02/28/2006
- U.S. Basic National Fees filed on 02/28/2006
- Specification filed on 02/28/2006
- Claims filed on 02/28/2006
- Abstracts filed on 02/28/2006
- Drawings filed on 02/28/2006

*✓*  
DOCKETED

CLT/MATTER # 511-73  
 MAIL DATE 3/13/07  
 DUE DATE May 13, 2007  
 FINAL DEADLINE Oct. 13, 2007  
 DOCKETED BY MJ

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$205 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$205** for a Small Entity:

- Total additional claim fee(s) for this application is **\$ 205**

- **\$25** for 9 total claims over 20.
- **\$180** for multiple dependent claim surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

FREDERICK SMITH

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Telephone: (703) 308-9140 EXT 210

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/569,969	PCT/IB04/51331	511-73

FORM PCT/DO/EO/905 (371 Formalities Notice)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

**Anthony Court HUGGETT**

Atty. Ref.: **511-73**

Serial No. **10/569,969**

Group: **Unknown**

Filed: **February 28, 2006**

Examiner: **Unknown**

For: **PANEL AND SUPPORT STRUCTURE FOR  
COLLAPSIBLE CONTAINER, AND COLLAPSIBLE  
CONTAINER**

\* \* \* \* \*

**March 21, 2007**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COMMUNICATION**

Sir:

On March 13, 2007, a Notification of Missing Requirements Under 35 U.S.C. 371

In The United States Designated/Elected Office (DO/EO/US) was issued in this application. The Notification requires: "Additional claim fees of \$205 as a small entity, including any required multiple dependent claim fee, are required". The Notification bases the additional claim fees on the following:

"Total additional claim fee(s) for this application is **\$205** for a small entity:

**\$25** for 9 total claims over 20.

**\$180** for multiple dependent claim surcharge[sic]."

The foregoing calculation is incorrect for several reasons. First, a Preliminary Amendment was filed with this application on February 28, 2006. This Amendment

eliminated all multiple dependent claims. As such, the multiple dependent claim surcharge of \$180.00 is not justified.

Second, the total number of claims over 20 is 8, and not 9, as asserted in the Notification, as evidenced by the fact that the claims pending in this application are numbered 1-28. The Preliminary Amendment filed with this application did not change the number of claims in this application.

Finally, it should be noted that payment for the 8 claims over 20 was made by Credit Card when this application was filed on February 28, 2006 (copy of Credit Card form attached). Thus, it is believed that no additional fees for additional claims are due. Nevertheless, should the Commissioner determine that such fee is due at this time, the Commissioner is hereby authorized to charge the fee to our Deposit Account No. 14-1140.

Respectfully submitted,

NIXON & VANDERHYE P.C.

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